

**SECOND OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

TOMOKA CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted January 30-February 1, 2018

CMA STAFF

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CAP Assessment Distributed on December 14, 2018

CAP Assessment of Tomoka Correctional Institution

I. Overview

On January 30–February 1, 2018, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Tomoka Correctional Institution (TOMCI). The survey report was distributed on March 6, 2018. On April 5, 2018, TOMCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the TOMCI 2018 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than 90 days.

On July 24, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on August 17, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 14 of 17 physical health findings and 5 of 6 mental health findings were corrected.

On November 9, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on December 12, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that 3 of 3 physical health findings were corrected. All physical health findings are closed.

Finding	CAP Evaluation Outcome
<p><u>CHRONIC ILLNESS CLINIC REVIEW</u></p> <p>PH-1: In 4 of 16 records reviewed, there was no evidence that inmates were seen according to their M-grade.</p>	<p>PH-1 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-1.</p>

Finding	CAP Evaluation Outcome
<p><u>SICK CALL</u></p> <p>A comprehensive review of 18 records revealed the following deficiencies:</p> <p>PH-7: The follow-up visit did not occur in a timely manner.</p> <p>PH-8: There was no evidence of a follow-up assessment.</p>	<p>PH-7 & PH-8 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction was provided to close PH-7 & PH-8.</p>

III. Mental Health Assessment Summary

The CAP closure files revealed evidence to determine that 1 of 1 mental health findings were corrected. All mental health findings are closed.

Finding	CAP Evaluation Outcome
<p><u>OUTPATIENT MENTAL HEALTH SERVICES</u></p> <p>MH-4: In 6 of 18 records reviewed, the ISP was not signed by all relevant parties.</p>	<p>MH-4 CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction was provided to close MH-4.</p>

IV. Conclusion

All findings as a result of the January/February 2018 survey are closed and no further action is required on this CAP. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.